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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert E. Reiter et al.  
Serial No.: 09/934,773  
Filed: August 21, 2001  
Docket: 30435.54USD3  
Title: PSCA: PROSTATE STEM CELL ANTIGEN AND USES THEREOF

CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this paper or fee is being deposited with the United States Postal as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on October 17, 2001.

By:   
Name: Tracy Truick

35 N. Arroyo Parkway, Suite 60  
Pasadena, California 91103  
October 17, 2001

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet in duplicate, containing Certificate under 37 CFR §1.8.
- ☒ Response to Notice to File Corrected Application Papers Dated September 17, 2001, Filing Date Granted (2 pages)
- ☒ Exhibit 1 – Copy of Part I of Notice to File Corrected Application Papers (2 pages)
- ☒ Exhibit 2 – Substitute Figures 1-73 (69 sheets)
- ☒ Exhibit 3 – Statement Under 37 C.F.R. §1.821(e) (2 pages)
- ☒ Exhibit 4 – Paper copy of Sequence Listing (13 pages)
- ☒ Exhibit 5 – Declaration Under 37 C.F.R. §1.821(f) (1 page)
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

**MANDEL & ADRIANO**

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Dkt. 30435.54USD3/SBA/RDG

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Robert Reiter, et al.  
Serial No. : 09/934,773 Examiner : Dr. Larry Helms  
Filed : August 21, 2001 Group Art Unit : 1642  
For : PSCA: PROSTATE STEM CELL ANTIGEN AND USES THEREOF

35 North Arroyo Parkway, #60  
Pasadena, California 91103  
October 17, 2001

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

SIR:

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS,  
DATED SEPTEMBER 17, 2001, FILING DATE GRANTED**

The U.S. Patent Office issued a Notice to File Corrected Application Papers, dated September 17, 2001, in connection with the above-referenced patent application. A Response to the Notice is due November 17, 2001. Accordingly, this Response is being timely filed. A copy of Part I of the Notice is submitted herein as Exhibit 1.

In the Notice, the Patent Office states that the subject application has been accorded an Application Number and Filing Date. However, the Patent Office also states that the application is informal because the drawings are not in compliance with 37 C.F.R. §1.84 (e) and (g). Applicants provide herein Substitute Figures 1-73, which comply with the margin requirements under 37 C.F.R. §1.84 (g), and are electronically reproducible as required by 37 C.F.R. §1.84 (e). Substitute Figures 1-73 contain no new matter and their entry is respectfully requested. Substitute Figures 1-73 are submitted herein as Exhibit 2.

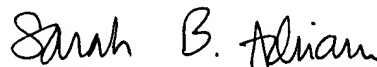
Applicant: Robert Reiter, et al.  
U.S. Serial No.: 09/934,773  
Filed: August 21, 2001  
Page: 2

Further, the Notice states that Applicants failed to submit a sequence listing in paper copy and a computer readable forms. The sequence listing for the instant application is identical to that previously submitted for 09/564,329, for which the instant application claims priority. Applicants submit herein a request that the Patent Office enter and use for examination purposes, the computer readable form already submitted for 09/564,329 (see 37 C.F.R. §1.821(e), submitted herein as Exhibit 3)

Applicants also submit herein a paper copy of the sequence listing (Exhibit 4) and a Declaration under 37 C.F.R. §1.821(f) (Exhibit 5). The paper copy sequence listing contains no new matter and entry of the sequence listing is respectfully requested.

No fee is deemed necessary in connection with the filing of this Response. However, if any fee is necessary, the Patent Office is authorized to charge any additional fee to Deposit Account No. 50-0306.

Respectfully submitted,



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/934,773	08/21/2001	Robert E. Reiter	30435.54USD3

26941  
MANDEL & ADRIANO  
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SUITE 60  
PASADENA, CA 91103

CONFIRMATION NO. 9474

## FORMALITIES LETTER



\*OC000000006565316\*

Date Mailed: 09/17/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE